

22763 U.S. PTO  
041404

By Express Mail #EV410260363US

Attorney Docket No.: 5405-10

Check box if applicable: ☐ DUPLICATE

## UTILITY PATENT APPLICATION TRANSMITTAL

*Submit an original and a duplicate for fee processing*  
(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Dated: April 14, 2004

17497 U.S. PTO  
10/824758  
041404

Mail Stop **Patent Application**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the utility patent application of:

Inventor(s): Norikazu ARAI, Shinichiro SAITO

For: Objective Optical Element, Optical Pickup Device, and Optical Information  
Recording and Reproducing Device

Enclosed are:

- Transmittal letter (2x) with Fee Computation Sheet
- General Authorization For Payment of Fees (2x)
- Specification, Claims 1 to 37 & Abstract (67 pages)
- Executed Declaration and Power of Attorney (3 pp.)
- Six (6) sheet(s) of drawing(s) (Figs. 1 to 7)
- Check for \$1076.00 for filing fee
- Assignment of the invention to **Konica Minolta Opto, Inc.**
- Recordation Cover Sheet (PTO-1595)
- Check for \$40.00 for Assignment Recording Fee
- Certified copy of priority document No(s). 2003-117190
- Letter Transmitting Priority document
- Return Receipt Postcard

The PTO did not receive the following  
listed item(s) *Post Card*

By Express Mail #EV410260363US

This application is to be assigned to: **Konica Minolta Opto, Inc.**  
**2970, Ishikawa-machi, Hachioji-shi**  
**Tokyo 192-8505 Japan**

- ☐ Please charge my Deposit Account No. 03-2412 in the amount of \$. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Acct. No. 03-2412.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.
- ☒ Priority is claimed for this invention and application, corresponding applications having been filed in **Japan** on **April 22, 2003**, No. **2003-117190**.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By: Thomas Langer  
Thomas Langer  
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## **FILING FEE COMPUTATION SHEET**

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P.O. Box 1450  
Alexandria, VA 22313-1450

**In re Application of: Norikazu ARAI et al.**

**For: Objective Optical Element, Optical Pickup Device, and Optical Information  
Recording and Reproducing Device**

The filing fee has been calculated as shown below:

| FOR:   | Col. 1           | Col. 2    | SMALL ENTITY | OTHER THAN<br>SMALL ENTITY |
|--|------------------|-----------|--------------|----------------------------|
|  | # FILED          | # EXTRA   |              |                            |
| BASIC FEE  |                  |           | <b>\$385</b> | <b>\$770</b>               |
| TOTAL CLAIMS   | <u>37</u> - 20 = | <u>17</u> | x 9 = \$     | x 18 = \$306               |
| INDEPENDENT<br>CLAIMS  | <u>3</u> - 3 =   | <u>0</u>  | x 43 = \$    | x 86 = \$                  |
| <input type="checkbox"/> MULTIPLE<br>DEPENDENCY                            |                  |           | +\$145 = \$  | +290 \$                    |
| * If the difference in<br>Col. 1 is less than zero,<br>enter "0" in Col. 2 |                  |           | TOTAL: \$    | <b>\$1076</b>              |

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Norikazu ARAI et al.

Serial No.: n/a

Filed: concurrently

For: Objective Optical Element, Optical  
Pickup Device, and Optical  
Information Recording and  
Reproducing Device

Check box if applicable:

☐ DUPLICATE

**GENERAL AUTHORIZATION FOR PAYMENT OF FEES  
AND PETITIONS FOR EXTENSIONS OF TIME**

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**Mail Stop Patent Application**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 03-2412

- [X] Any filing fees required under 37 CFR §1.16.
- [X] Any patent application processing fees under 37 CFR §1.17 not otherwise paid by check.
- [X] The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
- [X] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

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